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Substitute for form 1449A/PTO (modified) <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary)				<b>Complete if known</b>	
				Application Number	Not yet assigned
				Filing Date	9/26/2001
				First Named Inventor	LEETE, ET AL.
				Group Art Unit	Not yet assigned
				Examiner Name	Not yet assigned
				Attorney Docket Number	42390P12164
Sheet	1	of	1		

JC879 U.S. PTO  
09/965698

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Examiner Signature		Date Considered	
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<sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(use as many sheets as necessary)*

Sheet 1 of 2

**Complete if Known**

<b>Application Number</b>	09/965,698
<b>Filing Date</b>	September 26, 2001
<b>First Named Inventor</b>	Brian A. Leete, et al.
<b>Art Unit</b>	2182
<b>Examiner Name</b>	Not yet assigned
<b>Attorney Docket Number</b>	042390.P12164

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**U.S. PATENT DOCUMENTS**

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## FOREIGN PATENT DOCUMENTS

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1 Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 2 of 2

**Complete if Known**

Application Number	09/965,698
Filing Date	September 26, 2001
First Named Inventor	Brian A. Leete, et al.
Group Art Unit	2182
Examiner Name	Not yet assigned
Attorney Docket Number	042390.P12164

**OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
chk		Enhanced Host Controller Interface Specification for Universal Serial Bus dated May 30, 2001, Revision 0.96 rc2, cover pages -iv and pages 1-142	
		IEEE Standard for a High Performance Serial Bus; IEEE Std 1394-1995; 30 August 1996; cover pages, pages 19-47	
		U.S. Patent Application Serial No. 09/361,677, entitled "Transaction Scheduling for a Bus System" by John I. Garney, et al., filed July 27, 1999 ( 44 pages of spec)	
		U.S. Patent Application Serial No. 09/362,991, entitled "Split Transaction Protocol for a Bus System" by John I. Garney, et al., filed July 27, 1999 (35 pages of spec)	
		U.S. Patent Application Serial No. 09/461,625, entitled "Tracking Transaction Status for a Bus System Providing Legacy Bus Compatibility" by John I. Garney, et al., filed December 14, 1999 (44 pages of spec)	
		U.S. Patent Application Serial No. 09/473,914, entitled "Transaction Scheduling for a Bus System in a Multiple Speed Environment" by John I. Garney, et al., filed December 28, 1999 (48 pages of spec)	

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Substitute for Form 1449/PTO <div style="text-align: center; font-size: 1.2em; font-weight: bold;">           INFORMATION DISCLOSURE            STATEMENT BY APPLICANT  <small>(use as many sheets as necessary)</small> </div> <div style="position: absolute; left: 50px; top: 100px; border: 1px solid black; border-radius: 50%; padding: 10px; text-align: center;">             OIP              OCT 27 2003              U.S. PATENT &amp; TRADEMARK OFFICE           </div>				<b>Complete if Known</b>	
		Application Number	09/965,698		
		Filing Date	September 26, 2001		
		First Named Inventor:	Brian A. Leete		
		Art Unit	2182		
		Examiner Name	Not Yet Assigned		
		Attorney Docket Number	42390P12164		
U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-			
		US-			
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document  Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
chb		WO 00 49507 A	08-24-2000	Icron Systems Inc.		
chb		WO 01 08018 A	02-01-2001	Intel Corporation		

Examiner Signature:	Date Considered: <u>3/4/04</u>
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Substrate for Form 1449/PTO <h2 style="margin: 0;">INFORMATION DISCLOSURE STATEMENT BY APPLICANT</h2> <p style="margin: 0;">(use as many sheets as necessary)</p>				Complete if Known		
				Application Number	09/965,698	
				Filing Date	09/26/2001	
				First Named Inventor:	Brian A. Leete	
				Art Unit	2182	
				Examiner Name	Unassigned	
				Attorney Docket Number	42390P12164	
Sheet <b>1</b> of <b>1</b>						
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Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code <sup>3</sup>	Number <sup>4</sup> Kind Code <sup>5</sup> (if known)			
<i>chk</i> 		US-	5,936,967	08-10-1999	Baldwin et al.,	
		US-	6,069,872	05-30-2000	Bonomi et al.	
		US-	6,104,708	08-15-2000	Bergamo	
		US-	6,515,965 B1	02-04-2003	Hou et al.	
		US-	5,553,310	09-03-1996	Taylor et al.	
		US-	5,881,255	03-09-1999	Kondo et al.	
		US-	5,933,611	08-03-1999	Shakkarwar	
		US-	6,243,778 B1	06-05-2001	Fung et al.	
		US-	6,366,590 B2	04-02-2002	Hu	
		US-	5,564,114	10-08-1996	Popat et al.	
		US-	5,630,174	05-13-1997	Stone, III et al.	
		US-	6,098,134	08-01-2000	Michels et al.	
		US-	6,098,137	08-01-2000	Goodrum et al.	
		US-	6,266,731 B1	07-24-2001	Riley et al.	
		US-	6,067,591	05-23-2000	Howard et al.	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				

Examiner Signature		Date Considered	3/4/04
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Application Number	09/965,698
Filing Date	09/26/2001
First Named Inventor:	Brian A. Leete
Art Unit	2182
Examiner Name	Unassigned
Attorney Docket Number	42390P12164

Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (If known)				
chl		US-	6,012,115	01-04-2000	Chambers et al.	
chl		US-	6,067,591	05-23-2000	Howard et al.	
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Based on Form PTO/SB/08A (08-03) as modified by BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP on 09/10/03.